

Patent  
6646-114N8

*Handwritten:* 9/20/02

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

Ronald A. KATZ

Serial No.: 09/835,146

Filed: April 13, 2001

For: VOICE-DATA TELEPHONIC  
INTERFACE CONTROL SYSTEM

Group Art Unit: 2643

Examiner: Stella L. Woo

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Commissioner for Patents  
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. 1.97(c)

Commissioner for Patents  
Washington, D.C. 20231

Sir:

Applicant is submitting copies of Forms PTO-1449 (SET I, SUPPLEMENTAL I, II, III, IV, V, VA, VI, VII, VIII, IX and one other received by the U.S. Patent and Trademark Office on November 13, 1998) citing prior art that was considered by the same Examiner (as evidenced by the Examiner's initials) in parent application Serial No. 08/306,456 (now U.S. Patent No. 6,335,965). Applicant is also submitting substantially identical Forms PTO-1449 for the Examiner to initial with respect to the present application.

09/05/2002 NM0HAMM1 00000121 09835146

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CERTIFICATE OF MAILING  
(37 C.F.R. § 1.8a)

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as First Class Mail in an envelope addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231.

Date of Deposit

*August 30, 2002*

*Reena Kuyper*  
Reena Kuyper

Since submitting these Forms PTO-1449 with respect to parent Serial No. 08/306,456, other information or references had come to Applicant's attention (cited in a related application Serial No. 08/482,218) that may be of interest. Applicant respectfully notes that such information is not more relevant than the cumulative art of record before the U.S. Patent and Trademark Office. Nonetheless, Applicant respectfully submits this information again for the Examiner's independent consideration. An additional Form PTO-1449 that is captioned Supplemental X cites materials brought to Applicant's attention by a company named Vanguard Group, Inc. Applicant has also learned of a Brigham Young University (hereafter "BYU") BYU reference, likely, a thesis found in the BYU library, or possibly the BYU student registration system, that may be of background interest. Applicant was not provided with a copy of this reference and therefore cannot provide it to the U.S. Patent and Trademark Office. Supplement X also cites an article entitled "*100 Cool Call Center Things*," which describes when specific aspects of call center technology were introduced.

Also, Applicant submits an additional Form PTO-1449 captioned Supplemental XI, which cites patents recently cited by Examiner, in Applicant's related Application Serial No. 09/861,377. The undersigned is providing copies of references cited in Supplemental X. It is assumed that the Examiner can obtain copies of the patents cited in Supplemental XI on-line.

Applicant requests that under 37 C.F.R. 1.97(c), the accompanying Forms PTO-1449 that list references should be placed in this file to make it available for future access by others.

The items identified in this Information Disclosure Statement may or may not be "material" pursuant to 37 C.F.R. § 1.56. The submission of these documents by Applicant should not be construed as an admission that any such patent, publication or other

information referred to in these documents is material or considered to be material (37 C.F.R. § 1.97(h)), or even qualifies as "prior art" under 35 U.S.C. § 102 with respect to this invention, unless specifically designated by Applicant as such.

The filing of this Information Disclosure Statement should not be construed to mean that a search has been made or that no other material information, as defined in 37 C.F.R. § 1.56, exists.

This Information Disclosure Statement is being filed in accordance with 37 C.F.R. §§ 1.97(c) and 1.98. A check in the amount of \$180.00 to cover the fees designated by 1.17(p) is included. The Examiner is hereby authorized to charge any deficiencies or any additional fee required by this paper to A2D L.P.'s Deposit Account No. **50-1636**.

Dated: \_\_\_\_\_

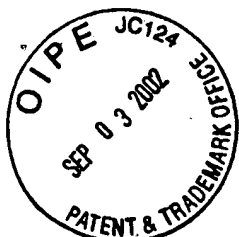
August 30, 2002

9220 Sunset Blvd., Suite 315  
Los Angeles, California 90069  
(213) 489-1600

Respectfully submitted,

By: \_\_\_\_\_

Reena Kuyper  
Reg. No. 33,830



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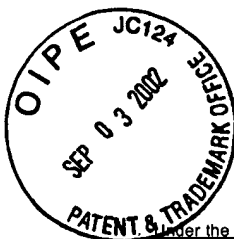
<b>TRANSMITTAL FORM</b> (to be used for all correspondence after initial filing)	Application Number	09/835,146
	Filing Date	April 13, 2001
	First Named Inventor	Ronald A. KATZ
	Group Art Unit	2643
	Examiner Name	S. Woo
Total Number of Pages in This Submission	Attorney Docket Number	6646-114N8

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input checked="" type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Reply	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
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<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	PTO Forms 1449 for SET I, SUPPLEMENTAL I, II, III, IV, V, VA, VI, VII, VIII, IX, X and XI; copies of PTO Forms 1449 for SET I, SUPPLEMENTAL I, II, III, IV, V, VA, VI, VII, VIII and IX from U.S. Application Serial No. 08/306,456; Return postcard	

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Reena Kuyper, Registration No. 33,830
Signature	
Date	August 30, 2002

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Typed or printed name	Reena Kuyper	Date	August 30, 2002
Signature		Date	August 30, 2002

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# FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 180.00

## Complete if Known

Application Number 09/835,146  
Filing Date April 13, 2001  
First Named Inventor Ronald A. KATZ  
Examiner Name S. Woo  
Group Art Unit 2643  
Attorney Docket No. 6646-114N8

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## METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

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Deposit Account Name A2D, L.P.

The Commissioner is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☒ Credit any overpayments  
☒ Charge any additional fee(s) during the pendency of this application  
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## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
101	740	201	370	Utility filing fee	
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	

SUBTOTAL (1) (\$) 0.00

### 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Extra Claims Fee from below Fee Paid  
Total Claims  -20\*\* =  X  = 0.00  
Independent Claims  -3\*\* =  X  = 0.00  
Multiple Dependent  = 0.00

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple dependent claim, if not paid
109	84	209	42	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$) 0.00

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for <del>ex</del> parte reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Processing fee under 37 CFR 1.17(q)	
126	180	126	180	Submission of Information Disclosure Stmt	180.00
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify) Terminal Disclaimer

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 180.00

## SUBMITTED BY

Name (Print/Type)	Reena Kuyper	Registration No. (Attorney/Agent)	33,830	Telephone	(310) 247-8191
Signature		Date	August 30, 2002		

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JH  
9/10/02

FORM PTO-1449 SET I INFORMATIONAL CLOSURE CITATION IN AN APPLICATION	<b>Docket No. (optional)</b> 6646-114N8 <b>Applicant</b> Ronald A. Katz <b>Filing Date</b> April 13, 2001	<b>Application No.</b> 09/835,146 <b>Group Art Unit</b> 2643 <b>RECEIVED</b> SEP 09 2002 Technology Center 2600

U.S. PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	3,644,675	02/22/1972	Waltington	-----	-----	-----
	4,071,698	01/31/1978	Barger Jr. et al	-----	-----	-----
	4,302,810	11/24/1981	Bouricius et al	-----	-----	-----
	4,549,047	10/22/1985	Brian et al	-----	-----	-----
	4,585,906	04/29/1986	Matthews et al	-----	-----	-----
	4,763,191	08/09/1988	Gordon et al	-----	-----	-----
	4,785,408	11/15/1988	Britton et al	-----	-----	-----
	4,792,968	12/20/1988	Katz	-----	-----	-----
	4,845,739	07/04/1989	Katz	-----	-----	-----
	4,852,154	07/25/1989	Lewis et al	-----	-----	-----
	4,866,756	09/12/1989	Crane et al	-----	-----	-----
	4,996,705	02/26/1991	Entenmann et al	-----	-----	-----
	5,014,298	05/07/1991	Katz	-----	-----	-----

FOREIGN PATENT DOCUMENTS						
	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
	WO 87/00375	01/15/1987	PCT (Int. Application)	-----	-----	-----
	1,162,336	02/14/1984	Canada	-----	-----	-----

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)	
	Lexis Search Results (Great American Potato-Chip giveaway/Raisin Bran Game/Giants Baseball Trivia -- Dial Info): "In The Chips" AdWeek, July 22, 1985
	"San-Fran-Police-League", Business Wire, Aug. 2, 1985
	"Similar Campaigns", DM News, Dec. 15, 1985
	"Phone Offers Action At Push Of Button", Advertising Age, Feb. 6, 1986
	Boies, Stephen J., "A Computer Based Audio Communication System", <u>Computer Sciences Department</u> , Thomas J. Watson Research Center, Yorktown Heights, New York, USA, pp. 701-704 - (Article) (Undated)

EXAMINER	DATE CONSIDERED
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.	

FORM PTO-1249 SET I INFORMATION DISCLOSURE CITATION IN AN APPLICATION	Docket No. (optional) 6646-114N8 Applicant Ronald A. Katz Filing Date April 13, 2001	Application No. 09/835,146 Group Art Unit 2643  <b>RECEIVED</b> SEP 09 2002
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FOREIGN PATENT DOCUMENTS						
	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)						
	Winckelmann, W.A., "Automatic Intercept Service", <u>Bell Laboratories Record</u> , May 1968, Vol 46, No. 5, pp. 138-143 - (Article)					
	"Proposed Agreement Between National Enterprises Board (N.E.B.) and Delphi", Jan. 30. 1979					
	Voysey, Hedley, "Nexos wins rights to comms engine", <u>Computing</u> , Sept. 6, ??, Vol. 7, no. 36 - (Article)					
	"Appraisal Of The Fair Market Value Of Delphi Communications", Apr. 30, 1980 - (Study) Delphi Communications - (Charts and Exhibits)					
	"Voice-Response System Improves Order Entry, Inventory Control", <u>Communication News</u> , Aug. 1976 - (Article)					
	"Periphonics VOICEPACK" - (Brochure) (Undated)					
	"The Voice Response Peripheral That Turns Every Touch-Tone Telephone Into A Computer Terminal", Periphonics Corporation - (Brochure) (Undated)					
	Rabin, Jeff, "Minorities Seek 30% Share of All Lottery Operations", <u>Sacramento Bee</u> , April 12, 1985 - (Article)					
	Advertisements (Dial Giants Baseball Trivia Game): <u>San Francisco Chronicle</u> , July 3, 1984					
	Curtis, Cathy, "976 numbers let you dial-a-whatever", <u>San Francisco Business Journal</u> , Nov. 26, 1984 - (Article)					
	Ferrell, Jane, "Three little numbers for instant information", <u>San Francisco Chronicle</u> , Aug. 15, 1984 - (Article)					
	"Dallas Telephone Call-In Game Uses Computer Voice Interface", Sept. 24, 1984 - (Press Release)					
	Rivest, R.L., et al., "A Method for Obtaining Digital Signatures and Public-Key Cryptosystems", <u>Communications of the ACM</u> , Feb. 1978, Vol. 21, No. 2, pp. 120-126 - (Article)					
	Finnigan, Paul F, "Audiotex: The telephone as data-access equipment", <u>Data Communications</u> , 1987, pp. 155-161 (Article)					
	Ozawa, Y., et al., "Voice Response System and Its Applications", <u>Hitachi Review</u> , Dec. 1979, Vol. 28, No. 6, pp. 301-305 - (Article)					

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FOREIGN PATENT DOCUMENTS						
	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)						
	"AT&T 2: Reaches agreement with Rockwell (ROK)", Aug. 26, 1986 - (Press Release) "AT&T: Expands Computer speech system product line", April 14, 1986 - (Press Release)					
	Adams, Cynthia, "Conversing With Computers", <u>Computerworld on Communications</u> , May 18, 1983, Vol. 17, No. 20A, pp. 36-44 - (Article)					
	Hester, S.D., et al., "The AT&T Multi-Mode Voice Systems - Full Spectrum Solutions For Speech Processing Applications", Sept. 1985, pp. 1-10 - (Proceedings Of The 1985 AVIOS Conference)					
	Davidson, Leon, "A Pushbutton Telephone For Alphanumeric Input", <u>Datamation</u> , Apr. 1966, pp. 27-30 - (Article)					
	Advertisement: Cuervo Gold Beach Chair, VoiceMail Int'l, '83					
	"Digital's All-In-1 Voice Messaging", <u>Digital</u> - (Brochure) (Undated)					
	"Access Voice and Mail Messages From One Familiar Source", <u>Insight</u> , - (Article) (Undated)					
	"Get The Message...!" "New VoiceMail Features", <u>Voicemail International, Inc.</u> , Oct. 1984 - (Article)					
	Brochures (TWA Crew Scheduling/PSA's Reservation System/Universal Studios Program/Dow Phone): "AVIAR The communication system that keeps you flying", VoiceMail Int'l, - (Brochure) (Undated)					
	"TWA VOICEMAIL, Flight Attendants Users Guide" Aug. 1986, - (Brochure)					
	Holtzman, Henry, "Voice Mail Soars At TWA", <u>Modern Office Technology</u> (Reprint), Mar. 1986, - (Article)					
	"Bid Results via VOICEMAIL - Flight Deck Crew Members", May 1, 1985 (Script)					
	Borden, W.S., "Flight Attendant Self Input Of Monthly Bids Via Touch Tone Telephone", <u>In-Flight Services Bulletin</u> , Sept. 15, 1985 - (Memo)					
	"Look Ma, no operators! Automatic voice system does many airline jobs", <u>Air Transport World</u> , Oct. 1986 - (Article)					
	"1,000,000 Shares Common Stock" <u>Voicemail International, Inc.</u> , Jan 10, 1984 - (Public Offering Summary)					

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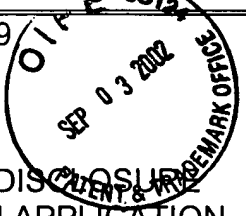


FORM PTO-1449  SET I  INFORMATION DISCLOSURE CITATION IN AN APPLICATION	Docket No. (optional) 6646-114N8 Applicant Ronald A. Katz Filing Date April 13, 2001	Application No. 09/835,146 Group Art Unit 2643  <b>RECEIVED</b>  <b>SEP 09 2002</b>
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U.S. PATENT DOCUMENTS						
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FOREIGN PATENT DOCUMENTS						
	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)						
	Levinson, S.E., et al., "A Conversational-Mode Airline Information and Reservation System Using Speech Input and Output", <u>The Bell System Technical Journal</u> , Jan. 1980, Vol. 59, No. 1, pp. 119-137					
	Emerson, S.T., "Voice Response Systems - Technology to the Rescue for Business Users", <u>Speech Technology</u> , Jan/Feb '83, pp. 99-103 - (Article)					
	Moslow, Jim, "Emergency reporting system for small communities", <u>Telephony</u> , Feb. 11, 1985, pp. 30-32, 34 - (Article)					
	Rabiner, L.R., et al., "Digital Techniques for Computer Voice Response: Implementation and Applications", <u>Proceedings Of The IEEE</u> , Apr. 1976, Vol. 64, No. 4, pp. 416-432 - (Article)					
	Moosemiller, J.P., "AT&T's CONVERSANT™ I Voice System" <u>Speech Technology</u> , Mar/Apr. 1986, pp. 88-93 - (Article)					
	Frank, R.J., et al., "No. 4 ESS: Mass Announcement Capability", <u>The Bell System Technical Journal</u> , July/Aug. 1981, Vol. 60, No. 6, Part 2, pp. 1049-1081 - (Chapter from a Book)					
	"Chapter I General Description" <u>D.I.A.L. PRM/Release 3 - Version 2</u> Mar. 1987 (Product Reference Manual)					
	"Announcing Release 3.3" <u>D-A-S-H- D.I.A.L. Application and Support Hints</u> , Jan/Feb. Mar. 1987, Vol. 3, No. 1 - (Brochure)					
	"D.I.A.L. Software Release 4", <u>OPCOM</u> , Jan. 1988, Version 1 - (Product Reference Manual)					
	Brady, R.L., et al., "Telephone Identifier Interface", <u>IBM Technical Disclosure Bulletin</u> , Oct. 1976, Vol. 19, No. 5, pp. 1569-1571 - (Article)					
	Corbett, A.J., "Telephone Enquiry System Using Synthetic Speech", <u>University of Essex</u> , Dec. 1974, (Thesis)					
	Yoshizawa, K., et al., "Voice Response System for Telephone Betting", <u>Hitachi Review</u> , June 1977, Vol. 26, No. 6 - (Article)					
	Sagawa, S., et al., "Automatic Seat Reservation By Touch-Tone Telephone", <u>Second USA Japan Computer Conference</u> , 1975, Vol. 2, pp. 290-294 - (Article)					

EXAMINER	DATE CONSIDERED
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.	

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	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)						
	Smith, S.L., "Computer-Generated Speech and Man-Computer Interaction", <u>Human Factors</u> , 1970, 12(2), pp. 215-223 - (Article)					
	Newhouse, A., et al., "On The Use Of Very Low Cost Terminals", <u>University of Houston</u> , pp. 240-249 - (Paper) (Undated)					
	Mullen, R.W., "Telephone - home's 'friendliest' Computer", <u>Inside Telephone Engineer And Management</u> , May 15, 1985, Vol 89, No. 10, - (Article)					
	"Telephone Computing Entering Service Bureau Business", <u>American Banker</u> , July 5, 1979 - (Article)					
	Kutler, Jeffrey, "Technology, System Sharing Improve Phone Banking Outlook", <u>American Banker</u> , Dec. 7, 1979, Vol. CXLIV, No. 237 - (Article)					
	Kutler, Jeffrey, "Phone Bill Paying Accessed by Pioneer", <u>American Banker</u> , Dec. 7, 1979, Vol. CXLIV, No. 237 - (Article)					
	"User's Guide", <u>Dowphone</u> (Undated)					
	"Audiotech Information From Dow Jones", <u>The Computer Review</u> , Nov. 1984, Vol. 2, No. 1 - (Article)					
	"Dow Phone Adds Innovest Systems' Technical Analysis Reports" <u>IDP Report</u> , Jan. 3, 1986 - (Report)					
	Perdue, R.J., et al., "Conversant 1 Voice System: Architecture and Applications", <u>AT&amp;T Technical Journal</u> , Sept/Oct. 1986 - (Article)					
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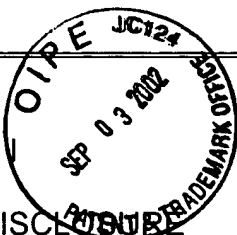
FORM PTO-1449 SET I INFORMATION & DISCLOSURE CITATION IN AN APPLICATION	Docket No. (optional) 6646-114N8 Applicant Ronald A. Katz Filing Date April 13, 2001	Application No. 09/835,146 Group Art Unit 2643 <div style="text-align: center;"> <b>RECEIVED</b>          SEP 09 2002       </div>
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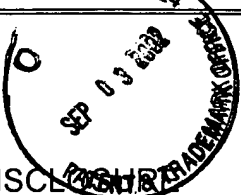
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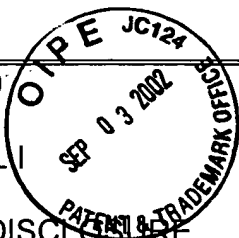
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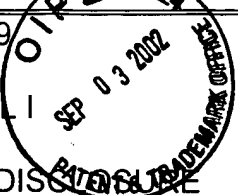
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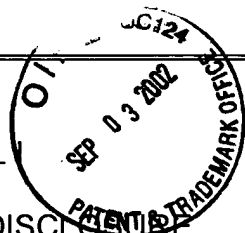
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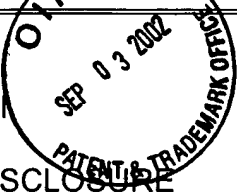
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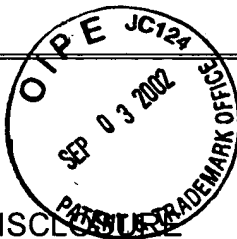
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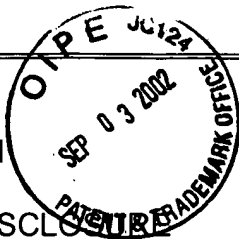
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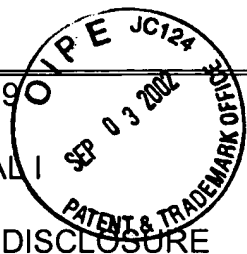


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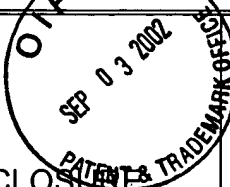
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	Applicant Ronald A. Katz Filing Date April 13, 2001	Group Art Unit 2643  <b>RECEIVED</b> SEP 09 2002

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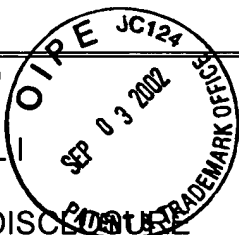


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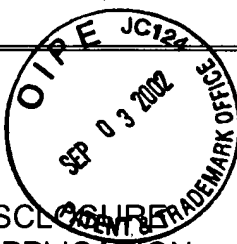
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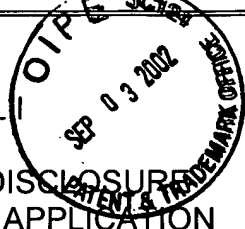
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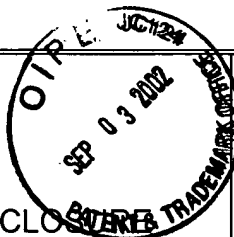
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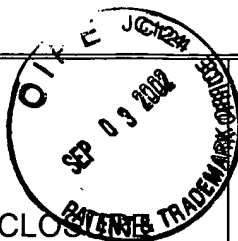
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	"Voicemail Instruction Manual B - 85", <u>Televoice International</u> , Nov. 1980 - (Manual) "Local Telephone Numbers" (for Voicemail) and "Televoice Is As Easy As 1, 2, 3!", <u>Televoice International</u> - (Manual) "Voicemail Instruction Manual C - 25", <u>Televoice International</u> , June 1981 - (Manual) "Telephone Numbers" (for Voicemail) and "How To Use Voicemail", <u>Televoice International</u> - (Manual) "Message Receiving/Sending" (and others), <u>Voicemail International, Inc.</u> - (Manual) "You Can Use Voicemail To Send And Receive Messages At Anytime Anywhere In The World", <u>Voicemail International, Inc.</u> , 1981 - (Brochure) "Advanced User Guide", <u>Voicemail International, Inc.</u> - (Manual) "Voicemail's Basic User's Guide", <u>Voicemail International, Inc.</u> - (Manual)					
	"Welcome To Dowphone", <u>Dowphone</u> , Jan. 1986 - (Manual)					

EXAMINER	DATE CONSIDERED
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FORM PTO-1449 SUPPLEMENTAL I INFORMATION DISCLOSURE CITATION IN AN APPLICATION	Docket No. (optional) 6646-114N8	Application No. 09/835,146
	Applicant Ronald A. Katz Filing Date April 13, 2001	Group Art Unit 2643



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U.S. PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
FOREIGN PATENT DOCUMENTS						
	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)						
	"Telephone 1-800 Check-PDR", <u>Officers of Medical Economics Company, Inc.</u> , 1986 - (Circulation/Brochure)					
	"Turn your telephone into an efficient electronic "mailbox"", <u>Western Union</u> , Jan. 1984, - (Brochure) "Western Union Voice Message Service User's Guide", <u>Western Union</u> , July 1984 - (Brochure)					
	"PSA's 24 hour reservation system", <u>PSA</u> , Sept. 1986 - (Brochure)					
	"To Better Serve Your Business, We're On Call Days, Nights and Weekends.", <u>Maryland Business Assistance Center</u> - (Brochure)					
	"Voice Response: Breaks Trough Call Blockage.", <u>Business Week</u> , Aug. 26, 1985 - (Advertisement for Preception Technology Corporation)					
	"Tools for heavy hitters", <u>Forbes</u> , May 6, 1985					
	"The Fidelity Automated Service Telephone", <u>Fidelity Group</u> - (Manual/Brochure)					
	"Stockquote Hotline", <u>Norwest Brokerage Services</u> - (Brochure) "All You Need To Get The Stock Quotes And News You Want." <u>Downphone</u> , 1984 - (Advertisement)					
	"The Most Respected Name In Telemarketing", <u>West Interactive Corporation</u> - (2 Brochures)					

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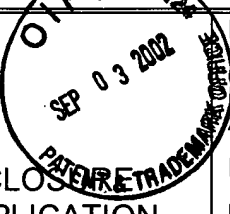
FORM PTO-1449 SUPPLEMENTAL II INFORMATION DISCLOSURE CITATION IN AN APPLICATION	Docket No. (optional) 6646-114N8	Application No. 09/835,146
	Applicant Ronald A. Katz	Group Art Unit 2643
	Filing Date April 13, 2001	<b>RECEIVED</b> SEP 09 2002



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U.S. PATENT DOCUMENTS						
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	3,246,082	04/12/1966	Levy	-----	-----	-----
	3,393,272	07/16/1968	Hanson	-----	-----	-----
	3,556,530	01/19/1971	Barr	-----	-----	-----
	3,688,126	08/29/1972	Klein	-----	-----	-----
	3,794,774	02/26/1974	Kemmerly et al.	-----	-----	-----
	3,909,553	09/30/1975	Marshall	-----	-----	-----
	3,934,095	01/20/1976	Matthews et al.	-----	-----	-----
	3,947,972	04/06/1976	Freeman	-----	-----	-----
	3,950,618	04/13/1976	Bloisi	-----	-----	-----
	4,017,835	04/12/1977	Randolph	-----	-----	-----
	4,078,316	03/14/1978	Freeman	-----	-----	-----
FOREIGN PATENT DOCUMENTS						
	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
	66113/81 Pat. No. 543302	07/16/1981	Australia	-----	-----	-----
	1,022,674	12/13/1977	Canada	-----	-----	-----
	1,025,118	01/24/1978	Canada	-----	-----	-----
	1,056,500	06/12/1979	Canada	-----	-----	-----
	1,059,621	07/31/1979	Canada	-----	-----	-----
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)						
	Borison, V.S., "TRANSACTION - telephone gets the fact at the point of sale", <u>Bell Laboratories Record</u> , Oct. 1975, pp. 377-383 - (Article)					
	Demeautis, M., et al., "The TV 200 A Transactional Telephone", <u>Commutation &amp; Transmission n. 5</u> , 1985, pp. 71-82 - (Article)					

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FORM PTO-1449  SUPPLEMENTAL II  INFORMATION DISCLOSURE CITATION IN AN APPLICATION	 Docket No. (optional) 6646-114N8 Applicant Ronald A. Katz Filing Date April 13, 2001	Application No. 09/835,146 Group Art Unit 2643  <b>RECEIVED</b>  SEP 09 2002
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U.S. PATENT DOCUMENTS						Technology Center 2600
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	4,090,038	05/16/1978	Biggs	-----	-----	-----
	4,145,578	03/20/1979	Orriss	-----	-----	-----
	4,191,860	03/04/1980	Weber	-----	-----	-----
	4,194,089	03/18/1980	Hashimoto	-----	-----	-----
	4,243,844	01/06/1981	Waldman	-----	-----	-----
	4,255,618	03/10/1981	Danner et al.	-----	-----	-----
	4,264,924	04/28/1981	Freeman	-----	-----	-----
	4,264,925	04/28/1981	Freeman et al.	-----	-----	-----
	4,277,649	07/07/1981	Sheinbein	-----	-----	-----
	4,290,141	09/15/1981	Anderson et al.	-----	-----	-----
	4,314,103	02/02/1982	Wilson	-----	-----	-----
FOREIGN PATENT DOCUMENTS						
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	1,225,759	08/18/1987	Canada	-----	-----	-----
	Pub. 0,249,575	12/16/1987	EPC	-----	-----	-----
	Pub. 0,295,837	12/21/1988	EPC	-----	-----	-----
	Pub. 0,342,295	11/23/1989	EPC	-----	-----	-----
	Pub. 0,434,181	06/26/1991	EPC	-----	-----	-----
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)						
	Eriksson, G., et al., "Voice and Data Workstations and Services in the ISDN", <u>Ericsson Review</u> , May 1984, pp. 14-19 - (Article)					
	Schrage, Michael, "A Game Von Meister in Pursuit of Profits", <u>Washington Post</u> , Sept. 23, 1985 - (Article)					
	Svigals, J., "Low Cost Point-Of-Sale Terminal", <u>IBM Technical Disclosure Bulletin</u> , Sept. 1982, Vol. 25, No. 4, p. 1835					

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FORM PTO-1449		Docket No. (optional) 6646-114N8	Application No. 09/835,146
SUPPLEMENTAL II		Applicant Ronald A. Katz	Group Art Unit 2643
INFORMATION DISCLOSURE CITATION IN AN APPLICATION		Filing Date April 13, 2001	<b>RECEIVED</b> SEP 09 2002

U.S. PATENT DOCUMENTS					Technology Center 2600	
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	4,345,315	08/17/1982	Cadotte et al.	-----	-----	-----
	4,355,207	10/19/1982	Curtin	-----	-----	-----
	4,376,875	03/15/1983	Beirne	-----	-----	-----
	4,398,708	08/16/1983	Goldman et al.	-----	-----	-----
	4,439,636	03/27/1984	Newkirk et al.	-----	-----	-----
	4,468,528	08/28/1984	Reece et al.	-----	-----	-----
	4,489,438	12/18/1984	Hughes	-----	-----	-----
	4,521,643	06/04/1984	Dupuis et al.	-----	-----	-----
	4,523,055	06/11/1985	Hohl et al.	-----	-----	-----
	4,532,378	07/30/1985	Nakayama et al.	-----	-----	-----
	4,566,030	01/21/1986	Nickerson et al.	-----	-----	-----
	4,570,930	02/18/1986	Matheson	-----	-----	-----
FOREIGN PATENT DOCUMENTS						
	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
	Pub. 52-17740	09/02/1977	Japan	-----	-----	Yes
	Pub. 56-152365	11/25/1981	Japan	-----	-----	No
	Pub. 62-239757	10/20/1987	Japan	-----	-----	No
	WO88/02966	04/21/1988	PCT Publication	-----	-----	-----
	WO88/05985	08/11/1988	PCT Publication	-----	-----	-----
	WO89/02139	03/09/1989	PCT Publication	-----	-----	-----
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)						
	Turbat, A., "Telepayment And Electronic Money The Smart Card", <u>Commutation &amp; Transmission</u> n. 5, 1982, pp. 11-20 - (Article)					
	"Voice Mail", <u>Sound &amp; Communications</u> , April 1983, Vol. 28, No. 12, pp. 84-85 - (Article)					

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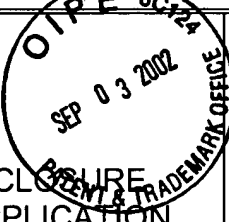
FORM PTO-1449 SUPPLEMENTAL II INFORMATION DISCLOSURE CITATION IN AN APPLICATION	Docket No. (optional) 6646-114N8 Applicant Ronald A. Katz Filing Date April 13, 2001	Application No. 09/835,146 Group Art Unit 2643
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U.S. PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	4,577,062	03/18/1986	Hilleary et al.	-----	-----	-----
	4,577,067	03/18/1986	Levy et al.	-----	-----	-----
	4,578,700	03/25/1986	Roberts et al.	-----	-----	-----
	4,580,012	04/01/1986	Matthews et al.	-----	-----	-----
	4,584,602	04/22/1986	Nakagawa	-----	-----	-----
	4,587,379	05/06/1986	Masuda	-----	-----	-----
	4,611,094	09/09/1986	Asmuth et al.	-----	-----	-----
	4,625,276	11/25/1986	Benton et al.	-----	-----	-----
	4,630,200	12/16/1986	Ohmae et al.	-----	-----	-----
	4,630,201	12/16/1986	White	-----	-----	-----
	4,634,809	01/06/1987	Paulsson et al.	-----	-----	-----
FOREIGN PATENT DOCUMENTS						
	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
	WO89/09530	10/05/1989	PCT Publication	-----	-----	-----
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)						
	Aso, Satoshi, "Trends and Applications of Voice Output Devices", 2209 J.E.E. Journal of Electronic Engineering, Feb. 1982, Vol. 19, No. 182, pp. 102-107 - (Article)					

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FORM PTO-1449  SUPPLEMENTAL II  INFORMATION DISCLOSURE CITATION IN AN APPLICATION		<b>Docket No. (optional)</b> 6646-114N8 <b>Applicant</b> Ronald A. Katz <b>Filing Date</b> April 13, 2001	<b>Application No.</b> 09/835,146 <b>Group Art Unit</b> 2643  <b>RECEIVED</b>  SEP 09 2002
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U.S. PATENT DOCUMENTS						
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	4,658,417	04/14/1987	Hashimoto et al.	-----	-----	-----
	4,674,044	06/16/1987	Kalmus et al.	-----	-----	-----
	4,697,282	09/29/1987	Winter et al.	-----	-----	-----
	4,706,275	11/10/1987	Kamil	-----	-----	-----
	4,745,468	05/17/1988	Von Kohorn	-----	-----	-----
	4,756,020	07/05/1988	Fodale	-----	-----	-----
	4,761,684	08/02/1988	Clark et al.	-----	-----	-----
	4,788,682	11/29/1988	Vij et al.	-----	-----	-----
	4,788,715	11/29/1988	Lee	-----	-----	-----
	4,797,913	01/10/1989	Kaplan et al.	-----	-----	-----
	4,805,209	02/14/1989	Baker, Jr., et al.	-----	-----	-----
	4,812,843	03/14/1989	Champion III, et al.	-----	-----	-----
FOREIGN PATENT DOCUMENTS						
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	Applicant Ronald A. Katz Filing Date April 13, 2001	Group Art Unit 2643

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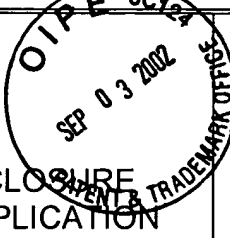


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U.S. PATENT DOCUMENTS						
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	4,815,121	03/21/1989	Yoshida	-----	-----	-----
	4,827,500	05/02/1989	Binkerd et al.	-----	-----	-----
	4,893,328	01/09/1990	Peacock	-----	-----	-----
	4,896,345	01/23/1990	Thorne	-----	-----	-----
	4,907,079	03/06/1990	Turner et al.	-----	-----	-----
	4,937,853	06/26/1990	Brule et al.	-----	-----	-----
	4,972,461	11/20/1990	Brown et al.	-----	-----	-----
	5,001,710	03/19/1991	Gawrys et al.	-----	-----	-----
	5,003,574	03/26/1991	Denq et al.	-----	-----	-----
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FORM PTO-1449		Docket No. (optional) 6646-114N8	Application No. 09/835,146
SUPPLEMENTAL IV		Applicant Ronald A. Katz	Group Art Unit 2643
INFORMATION DISCLOSURE CITATION IN AN APPLICATION		Filing Date April 13, 2001	<b>RECEIVED</b> <b>SEP 09 2002</b>

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U.S. PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	2,902,541	09/01/59	Singleton	-----	-----	-----
	3,394,246	07/23/68	Goldman	-----	-----	-----
	3,544,769	12/01/70	Hedin	-----	-----	-----
	3,617,638	11/02/71	Jochimsen et al.	-----	-----	-----
	3,696,335	10/03/72	Lemelson	-----	-----	-----
	3,800,283	03/26/74	Gropper	-----	-----	-----
	3,881,160	04/29/75	Ross	-----	-----	-----
	3,889,050	06/10/75	Thompson	-----	-----	-----
	3,912,874	10/14/75	Botterell et al.	-----	-----	-----
	3,918,174	11/11/75	Miller et al.	-----	-----	-----
FOREIGN PATENT DOCUMENTS						
	DOCUMENT NUMBER	DATE (Publ. Dates)	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
	OS 2929416	02/05/81	Germany	-----	-----	-----
	OS 3726366	02/11/88	Germany	-----	-----	-----
	2184327A	06/17/87	United Kingdom	-----	-----	-----
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)						
	Kroemer, F., "TELEBOX", Unterrichtsblätter, year 38/1985, No. 4, pp. 131-141 (Article) - no translation					
	Kroemer, F., "TELEBOX", Unterrichtsblätter, year 41/1988, No. 2, pp. 67-83 (Article) - no translation					

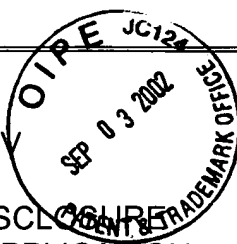
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FORM PTO-1449 SUPPLEMENTAL IV INFORMATION DISCLOSURE CITATION IN AN APPLICATION	<b>Docket No. (optional)</b> 6646-114N8 <b>Applicant</b> Ronald A. Katz <b>Filing Date</b> April 13, 2001	<b>Application No.</b> 09/835,146 <b>Group Art Unit</b> 2643  <b>RECEIVED</b>
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U.S. PATENT DOCUMENTS					Technology Center 2600	
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	4,054,756	10/18/77	Comella et al.	-----	-----	-----
	4,117,278	09/26/78	Ehrlich et al.	-----	-----	-----
	4,162,377	07/24/79	Mearns	-----	-----	-----
	4,191,376	03/04/80	Goldman	-----	-----	-----
	4,241,942	12/30/80	Bachman	-----	-----	-----
	4,242,539	12/30/80	Hashimoto	-----	-----	-----
	4,299,637	11/10/81	Oberdeck et al.	-----	-----	-----
	4,348,554	09/07/82	Asmuth	-----	-----	-----
	4,360,827	11/23/82	Braun	-----	-----	-----
	4,451,087	09/10/85	Comstock	-----	-----	-----
	4,490,583	12/25/84	Bednarz et al.	-----	-----	-----
FOREIGN PATENT DOCUMENTS						
	DOCUMENT NUMBER	DATE (Publ. Dates)	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
	0 229 170 A	07/22/87	EPC	-----	-----	-----
	0 568 114 A	11/03/93	EPC	-----	-----	-----
	0 620 669 A	10/19/94	EPC	-----	-----	-----
	500138/88	01/14/88	Japan	-----	-----	-----
	298158/90	12/20/90	Japan	-----	-----	-----
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	C.R. Newson, "Merlin Voice Mail VM600," British Telecommunications Engineering, vol. 4, April 1985, pp. 32-35					

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	Applicant Ronald A. Katz	Group Art Unit 2643
Filing Date April 13, 2001		RECEIVED SEP 09 2002



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U.S. PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	4,555,594	11/26/85	Friedes et al.	-----	-----	-----
	4,562,342	12/31/85	Solo	-----	-----	-----
	4,567,359	01/28/86	Lockwood	-----	-----	-----
	4,582,956	04/15/86	Doughty	-----	-----	-----
	4,591,190	03/27/86	Clark	-----	-----	-----
	4,614,367	09/30/86	Breen	-----	-----	-----
	4,652,998	03/24/87	Koza et al.	-----	-----	-----
	4,663,777	05/05/87	Szeto	-----	-----	-----
	4,671,512	06/09/87	Bachman et al.	-----	-----	-----
	4,677,553	06/30/87	Roberts et al.	-----	-----	-----
	4,694,490	09/15/87	Harvey et al.	-----	-----	-----
FOREIGN PATENT DOCUMENTS						
	DOCUMENT NUMBER	DATE (Publ. Dates)	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
	41855/91	02/22/91	Japan	-----	-----	-----
	2 230 403 A	10/17/90	United Kingdom	-----	-----	-----
	2,009,937-2	08/21/90	Canada	-----	-----	-----
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)						
	A.S. Yatagai, "Telephonic Voice Synthesis Systems," Telecommunications, Aug. 1985, pp. 56h-I, 68					
	A.J. Waite, "Getting Personal With New Technologies For Telemarketers," DM News, Feb. 15, 1987 at 50					
	"Shopping via a network is no longer just talk," Data Communications, Aug. 1981 at 43					
	"Growth-Oriented Systems," Restaurant Technology, Nation's Restaurant News Newspaper, Jul. 1, 1985 at 51					

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	<b>RECEIVED</b> SEP 09 2002	



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U.S. PATENT DOCUMENTS						
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	4,704,725	11/03/87	Harvey et al.	-----	-----	-----
	4,716,583	12/29/87	Groner et al.	-----	-----	-----
	4,764,666	08/16/88	Bergeron	-----	-----	-----
	4,766,604	08/23/88	Axberg	-----	-----	-----
	4,774,655	09/27/88	Kollin et al.	-----	-----	-----
	4,788,718	11/29/88	McNabb et al.	-----	-----	-----
	4,796,293	01/03/89	Blinken et al.	-----	-----	-----
	4,797,910	01/10/89	Daudelin	-----	-----	-----
	4,842,278	06/27/89	Markowicz	-----	-----	-----
	4,847,890	07/11/89	Solomon et al.	-----	-----	-----
	4,882,473	11/21/89	Bergeron et al.	-----	-----	-----
	4,894,857	01/16/90	Szlam et al.	-----	-----	-----

FOREIGN PATENT DOCUMENTS						
	DOCUMENT NUMBER	DATE (Publ. Dates)	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
	9002131	08/21/90	France	-----	-----	-----
	DE 4005365 A1	08/23/90	Germany	-----	-----	-----
	WO93/05483	03/18/1993	PCT	-----	-----	-----

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)	
	"Let your fingers do the tapping... ..and the computer the talking," Modern Office Tech., May 1984 at 80
	"American Software unveils systems for IBM mainframes," Computerworld, Mar. 26, 1984 at 59
	"Business Units Get Order Entry," Computerworld, July 12, 1982 at 36

EXAMINER	DATE CONSIDERED
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.	



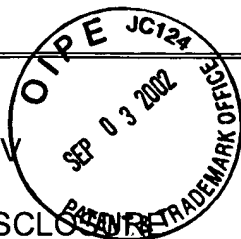
FORM PTO-1449  SUPPLEMENTAL IV  INFORMATION DISCLOSURE CITATION IN AN APPLICATION	<b>Docket No. (optional)</b> 6646-114N8  <b>Applicant</b> Ronald A. Katz  <b>Filing Date</b> April 13, 2001	<b>Application No.</b> 09/835,146  <b>Group Art Unit</b> 2643  <b>RECEIVED</b> SEP 09 2002

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U.S. PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	4,899,375	02/06/90	Bauer et al.	-----	-----	-----
	4,942,598	07/17/90	Davis	-----	-----	-----
	4,964,157	10/16/90	Aoshima	-----	-----	-----
	4,965,825	10/23/90	Harvey et al.	-----	-----	-----
	4,969,185	11/06/90	Dorst et al.	-----	-----	-----
	5,017,917	05/21/91	Fisher et al.	-----	-----	-----
	5,018,736	05/28/91	Pearson et al.	-----	-----	-----
	5,023,904	06/11/91	Kaplan et al.	-----	-----	-----
	5,046,183	09/03/91	Dorst et al.	-----	-----	-----
	5,083,272	01/21/92	Walker et al.	-----	-----	-----
	5,097,528	03/17/92	Gursahaney et al.	-----	-----	-----
	5,109,414	04/28/92	Harvey et al.	-----	-----	-----
	5,127,003	06/30/92	Doll, Jr. et al.	-----	-----	-----
FOREIGN PATENT DOCUMENTS						
	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)						

EXAMINER	DATE CONSIDERED
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.	

FORM PTO-1449  SUPPLEMENTAL IV  INFORMATION DISCLOSURE CITATION IN AN APPLICATION	<b>Docket No. (optional)</b> 6646-114N8  <b>Applicant</b> Ronald A. Katz  <b>Filing Date</b> April 13, 2001	<b>Application No.</b> 09/835,146  <b>Group Art Unit</b> 2643  <div style="text-align: center;"> <b>RECEIVED</b>          SEP 09 2002       </div>
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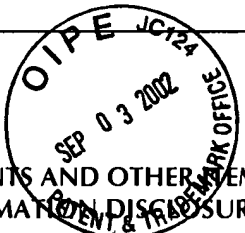


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U.S. PATENT DOCUMENTS						
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	5,146,491	09/08/92	Silver et al.	-----	-----	-----
	5,181,238	01/19/93	Medamana et al.	-----	-----	-----
	5,233,654	08/03/93	Harvey et al.	-----	-----	-----
	5,255,183	10/19/93	Katz (Penina)	-----	-----	-----
	5,263,723	11/23/93	Pearson et al.	-----	-----	-----
	5,333,185	07/26/94	Burke et al.	-----	-----	-----
	5,335,277	08/02/94	Harvey et al.	-----	-----	-----
	5,351,276	09/27/94	Doll Jr., et al.	-----	-----	-----
	5,353,335	10/04/94	D'Urso et al.	-----	-----	-----
FOREIGN PATENT DOCUMENTS						
	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)						

EXAMINER	DATE CONSIDERED
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<b>FORM PTO-1449</b>  <b>SUPPLEMENTAL V</b>  <b>LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION &amp; DISCLOSURE STATEMENT</b>  (Use several sheets if necessary)	<b>ATTY. DOCKET NO.</b> 6646-114N8	<b>SERIAL NO.</b> 09/835,146
	<b>APPLICANT:</b> Ronald A. KATZ	
	<b>FILING DATE:</b> April 13, 2001	<b>GROUP:</b> 2643



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U.S. PATENT DOCUMENTS						SEP 09 2002	
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	CLASS	DATE
		3,787,632	01/1974	Male et al.	-----	-----	-----
		3,940,569	02/1976	Schonbrun	-----	-----	-----
		3,987,252	10/1976	Vicari	-----	-----	-----
		4,068,099	01/1978	Mikkola	-----	-----	-----
		4,087,638	05/1978	Hayes et al.	-----	-----	-----
		4,090,034	05/1978	Moylan	-----	-----	-----
		4,160,129	07/1979	Peyser et al.	-----	-----	-----
		4,192,972	03/1980	Bertoglio et al.	-----	-----	-----
		4,221,933	09/1980	Cornell et al.	-----	-----	-----
		4,255,619	03/1981	Saito	-----	-----	-----
		4,360,875	11/1982	Behnke	-----	-----	-----
		4,401,856	08/1983	Curtin et al.	-----	-----	-----
		4,428,296	01/1984	Scheuchzer et al.	-----	-----	-----
		4,445,001	04/1984	Bertoglio	-----	-----	-----
		4,517,412	05/1985	Newkirk et al.	-----	-----	-----
		4,518,824	05/1985	Mondardini	-----	-----	-----
		4,549,291	10/1985	Renoulin	-----	-----	-----
		4,585,903	04/1986	Schiller et al.	-----	-----	-----
		4,591,665	05/1986	Foster et al.	-----	-----	-----
		4,600,809	07/1986	Tatsumi et al.	-----	-----	-----
		4,611,096	09/1986	Asmuth et al.	-----	-----	-----
		4,625,081	11/25/86	Lotito et al.	-----	-----	-----

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<b>FORM PTO-1449</b>  <b>SUPPLEMENTAL V</b>  <b>LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION AND CLOSURE STATEMENT</b>  (Use several sheets if necessary)	<b>ATTY. DOCKET NO.</b> 6646-114N8	<b>SERIAL NO.</b> 09/835,146
	<b>APPLICANT:</b> Ronald A. KATZ	
	<b>FILING DATE:</b> April 13, 2001	<b>GROUP:</b> 2643

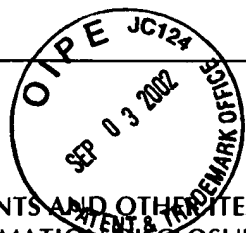


U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
		4,640,991	02/1987	Matthews et al.	-----	-----	-----
		4,672,660	06/1987	Curtin	-----	-----	-----
		4,685,127	08/1987	Miller et al.	-----	-----	-----
		4,747,124	05/1988	Ladd	-----	-----	-----
		4,759,056	07/1988	Akiyama	-----	-----	-----
		4,761,808	08/1988	Howard	-----	-----	-----
		4,791,666	12/1988	Cobb et al.	-----	-----	-----
		4,797,818	01/1989	Cotter	-----	-----	-----
		4,805,207	02/1989	McNutt et al.	-----	-----	-----
		4,878,240	10/1989	Lin et al.	-----	-----	-----
		4,893,325	01/1990	Pankonen et al.	-----	-----	-----
		4,896,346	1/1990	Belfield et al.	-----	-----	-----
		4,899,373	02/1990	Lee et al.	-----	-----	-----
		4,908,852	03/1990	Hird et al.	-----	-----	-----
		4,922,519	05/1990	Daudelin	-----	-----	-----
		4,933,965	02/1991	Hird	-----	-----	-----
		4,989,234	01/1991	Schakowsky et al.	-----	-----	-----
		5,036,535	07/1991	Gechter et al.	-----	-----	-----
		5,199,062	03/1993	Von Meister et al.	-----	-----	-----
		5,222,120	06/1993	McLeod et al.	-----	-----	-----
		5,299,260	03/1994	Shaio	-----	-----	-----
		5,303,298	04/1994	Morganstein	-----	-----	-----

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<b>FORM PTO-1449</b>  <b>SUPPLEMENTAL V</b>  <b>LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT</b>  (Use several sheets if necessary)	<b>ATTY. DOCKET NO.</b> 6646-114N8	<b>SERIAL NO.</b> 09/835,146
	<b>APPLICANT:</b> Ronald A. KATZ	
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U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	CLASS	DATE
		5,303,299	04/1994	Hunt et al.	-----	-----	-----
		5,354,069	10/1994	Guttman et al.	-----	-----	-----
		5,418,844	05/1995	Morrissey et al.	-----	-----	-----

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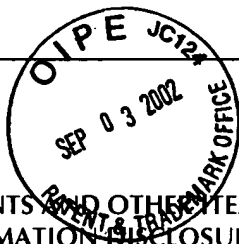
FOREIGN PATENT DOCUMENTS							
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		0 249 795	12/1987	EPC	-----	-----	no
		2 575 016	07/1986	France	-----	-----	no
		WO 82/02132	06/1982	PCT	-----	-----	yes

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)		
		Dial Info Articles (various articles with various dates) (R0016101-R0016188)
		Svigals, J., "Security Method For Remote Telephone Banking," IBM Technical Disclosure Bulletin, Vol. 23, No. 12, May 1981, pp. 5306-5307 (pb424)
		AT&T Conversant Voice Response Systems Historical Overview, January 1988 (FD 023585-FD023596)
		"All About Automated Attendant Systems," Datapro Research Corporation, March 1987 (SM 1000682-SM 1000691)
		"New Product - Dytel's Automated Switchboard Attendant," reprinted from Business Communications Review, March-April 1984, pp. 39-41 (SM10006976-SM1000699)
		Arbogast, James G. et al., "Home Diabetes Monitoring Through Touch-Tone Computer Data Entry and Voice Synthesizer Response," Annual Symposium on Computer Applications in Medical Care 8th Care Proceedings - Eighth Annual Symposium on Computer Applications in Medical Care, 1984 (MMI 020731)
		Perdue, Robert J., et al., "AT&T Voice Processing System Architectures," AT&T Technical Journal, September/October 1990, pp. 52-60 (MMI 024142-MMI 024151)
		Sable, E.G., et al., "AT&T Network Services Architecture Capabilities, Administration and Performance," AT&T Technical Papers, International Switching Symposium-ISS '87, AT&T Network Systems, March 15, 1987
		"The Stored Program Controlled Network" The Bell System Technical Journal, September 1982
		The World's Telephones, a Statistical Compilation as of January 1980, AT&T Long Lines, 1981 (Book)
		Engineering and Operations in the Bell System, AT&T Bell Laboratories, 1983 (Book)

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EXAMINER: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include a copy of this form with next communication to applicant	



<b>FORM PTO-1449</b>  <b>SUPPLEMENTAL VA</b>  <b>LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT</b>  (Use several sheets if necessary)	<b>ATTY. DOCKET NO.</b> 6646-114N8	<b>SERIAL NO.</b> 09/835,146
	<b>APPLICANT:</b> Ronald A. KATZ	
	<b>FILING DATE:</b> April 13, 2001	<b>GROUP:</b> 2643



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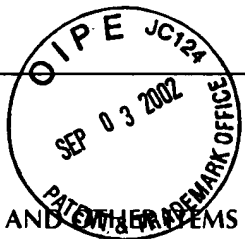
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	CLASS	DATE
		3,553,378	01/1971	Solomon, et al.	-----	-----	-----
		3,829,628	08/1974	Tripsas	-----	-----	-----
		4,565,903	01/1986	Riley	-----	-----	-----
		4,581,486	04/1986	Matthews, et al.	-----	-----	-----
		4,750,199	06/1988	Norwich	-----	-----	-----
		4,761,807	08/1988	Matthews, et al.	-----	-----	-----
		4,782,519	11/1988	Patel, et al.	-----	-----	-----
		4,785,473	11/1988	Pfeiffer, et al.	-----	-----	-----
		4,799,255	01/1989	Billinger, et al.	-----	-----	-----
		4,856,066	08/1989	Lemelson	-----	-----	-----
		4,932,021	06/1990	Moody	-----	-----	-----
		4,951,307	08/1990	Willard	-----	-----	-----
		4,959,855	09/1990	Daudelin	-----	-----	-----
		5,033,076	07/1991	Jones, et al.	-----	-----	-----
		5,033,088	07/1991	Shipman	-----	-----	-----
		5,054,059	10/1991	Stern, et al.	-----	-----	-----
		5,125,024	06/1992	Gokcen, et al.	-----	-----	-----
		5,148,474	06/1992	Haralambopoulos, et al.	-----	-----	-----
		5,164,981	11/1992	Mitchell, et al.	-----	-----	-----
		5,168,548	12/1992	Kaufman, et al.	-----	-----	-----
		5,179,585	01/1993	MacMillan, Jr., et al.	-----	-----	-----
		5,181,236	01/1993	LaVallee, et al.	-----	-----	-----

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<b>FORM PTO-1449</b>  <b>SUPPLEMENTAL VA</b>  <b>LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT</b>  (Use several sheets if necessary)	<b>ATTY. DOCKET NO.</b> 6646-114N8	<b>SERIAL NO.</b> 09/835,146
	<b>APPLICANT:</b> Ronald A. KATZ	
	<b>FILING DATE:</b> April 13, 2001	<b>GROUP:</b> 2643



U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
		5,186,471	02/1993	Vancraeynest	-----	-----	-----
		5,214,689	05/1993	O'Sullivan	-----	-----	-----
		5,236,199	08/1993	Thompson, Jr.	-----	-----	-----
		5,289,531	02/1994	Levine	-----	-----	-----
		5,327,554	07/1994	Palazzi, III, et al.	-----	-----	-----
		5,361,295	11/1994	Solomon, et al.	-----	-----	-----
		5,369,685	11/1994	Kero	-----	-----	-----
		5,416,830	05/1995	MacMillan, Jr., et al.	-----	-----	-----
		5,511,112	04/1996	Szlam	-----	-----	-----
		5,561,710	10/1996	Helms	-----	-----	-----
		5,623,536	04/1997	Solomon, et al.	-----	-----	-----
		5,651,048	07/1997	Leeuw	-----	-----	-----
		5,768,348	06/1998	Solomon, et al.	-----	-----	-----

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<b>FORM PTO-1449</b>  <b>SUPPLEMENTAL VA</b>  <b>LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT</b>  (Use several sheets if necessary)	<b>ATTY. DOCKET NO.</b> 6646-114N8	<b>SERIAL NO.</b> 09/835,146
	<b>APPLICANT:</b> Ronald A. KATZ	
	<b>FILING DATE:</b> April 13, 2001	<b>GROUP:</b> 2643



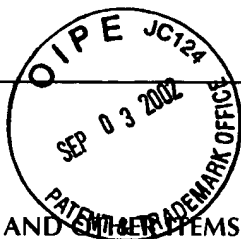
FOREIGN PATENT DOCUMENTS								
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		1162484	04/1967	Great Britain	-----	-----	Yes	
		WO 89/11768	11/1989	PCT	-----	-----	Yes	
		WO 90/10989	09/1990	PCT	-----	-----	Yes	
		WO 90/11661	10/1990	PCT	-----	-----	Yes	
		WO 91/15818	10/1991	PCT	-----	-----	Yes	
		WO 92/06548	04/1992	PCT	-----	-----	Yes	
		WO 92/09164	05/1992	PCT	-----	-----	Yes	
		WO 92/15166	09/1992	PCT	-----	-----	Yes	
		2253542	09/1992	Great Britain	-----	-----	Yes	
		EP 0 382 212 B1	07/1998	EPC	-----	-----	Yes	
		EP 0 382 670 B1	04/1997	EPC	-----	-----	Yes	
		EP 0 438 860 B1	09/1996	EPC	-----	-----	Yes	
		0 451 693 A2	10/1991	EPC	-----	-----	Yes	
		0 451 695 A2	10/1991	EPC	-----	-----	Yes	
		0 453 831 A2	10/1991	EPC	-----	-----	Yes	
		0 454 363 A2	10/1991	EPC	-----	-----	Yes	
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<b>FORM PTO-1449</b>  <b>SUPPLEMENTAL VI</b>  <b>LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT</b>  (Use several sheets if necessary)	<b>ATTY. DOCKET NO.</b> 6646-114N8	<b>SERIAL NO.</b> 09/835,146
	<b>APPLICANT:</b> Ronald A. KATZ	
	<b>FILING DATE:</b> April 13, 2001	<b>GROUP:</b> 2643



U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
		3,727,186	04/1973	Stephenson	-----	-----	-----
		4,599,493	07/1986	Ellis Cave	-----	-----	-----
		4,689,742	08/25/87	Troy et al.	-----	-----	-----
		4,710,955	12/01/87	Kauffman	-----	-----	-----
		4,769,834	09/1988	Billinger et al.	-----	-----	-----
		4,829,563	06/1989	Crockett et al.	-----	-----	-----
		4,832,341	05/23/89	Muller	-----	-----	-----
		4,835,630	05/1989	Freer	-----	-----	-----
		4,850,007	07/18/89	Marino et al.	-----	-----	-----
		4,881,261	11/1989	Oliphant et al.	-----	-----	-----
		4,916,726	04/10/90	Morley, Jr. et al.	-----	-----	-----
		4,926,462	05/15/90	Ladd et al.	-----	-----	-----
		5,000,486	03/19/91	Rua, Jr. et al.	-----	-----	-----
		5,003,595	03/1991	Collins et al.	-----	-----	-----
		5,128,984	07/07/92	Katz	-----	-----	-----
		5,351,285	09/1994	Katz	-----	-----	-----
		5,403,999	04/04/95	Entenmann et al.	-----	-----	-----
		5,415,416	05/16/95	Scagnelli et al.	-----	-----	-----
		5,475,205	12/12/95	Behm et al.	-----	-----	-----
		5,490,207	02/06/96	Schorr	-----	-----	-----
		5,537,143	07/16/96	Steingold et al.	-----	-----	-----
		5,599,046	02/14/97	Behm et al.	-----	-----	-----
		5,709,603	01/20/98	Kaye	-----	-----	-----

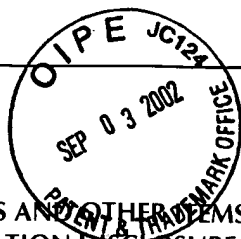
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	<b>APPLICANT:</b> Ronald A. KATZ	
	<b>FILING DATE:</b> April 13, 2001	<b>GROUP:</b> 2643



U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
		5,787,156	07/28/98	Katz	-----	-----	-----
		5,790,636	08/1998	Marshall	-----	-----	-----
		5,815,551	09/1998	Katz	-----	-----	-----
		5,835,576	11/10/98	Katz	-----	-----	-----

FOREIGN PATENT DOCUMENTS								
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES NO	
		32 25 562	01/19/84	Germany	-----	-----		no
		2 252 270 B	08/1992	Great Britain	-----	-----	yes	
		0 217 308 A2	04/08/87	Europe	-----	-----	yes	
		0 917 335 A2	05/19/99	Europe	-----	-----	yes	
		246067/1988	10/13/88	Japan	-----	-----		no
		009353/1988	01/16/88	Japan	-----	-----		no
		117436/1989	05/10/89	Japan	-----	-----		no
		54-62708	05/21/79	Japan	-----	-----		no
		52-17739	02/09/77	Japan	-----	-----		no
		59-83270	05/14/84	Japan	-----	-----		no
		62-92654	04/28/87	Japan	-----	-----		no
		62-98848	05/08/87	Japan	-----	-----		no
		63-114442	05/19/88	Japan	-----	-----		no

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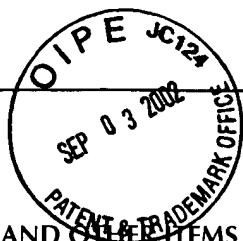


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		Inquiry Letter To The F.C.C., From Attorneys For the Prior Title Holder Seeking Rulings That A Particular Game wold Not Be Considered A Lottery Under F.C.C. Reply Letter From The F.C.C. To The Inquiry Letter Stating The Requested Rulings
		Reply Letter From The F.C.C. To The Inquiry Letter Stating The Requested Rulings
		A page (page 7) from literature on the Charles Schwab corporation, which is not dated nor identified.
		A page (page 4) from an annual report dated March 1, 1989, though the actual date on which the report was distributed to the public is unknown.
		An early brochure based on a March, 1989, survey by Charles Schwab & Co., Inc.
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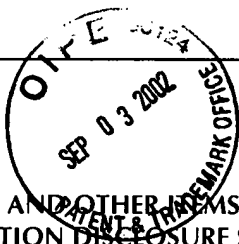
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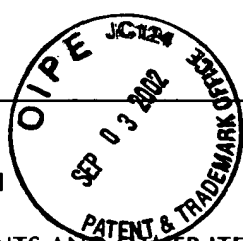


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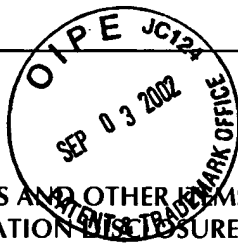


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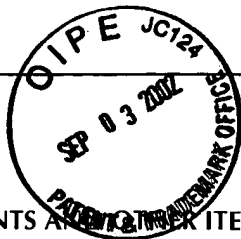


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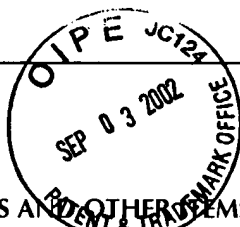


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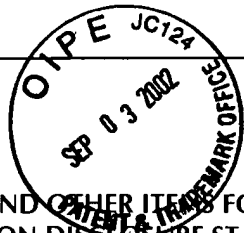


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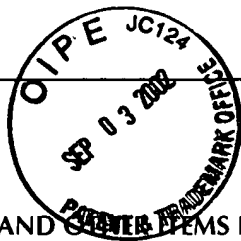


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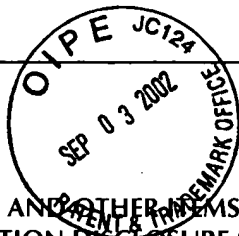


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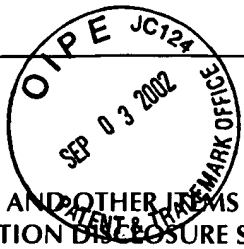


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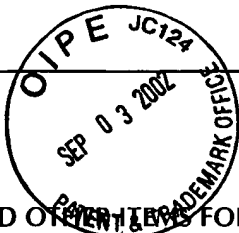
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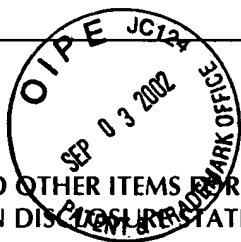


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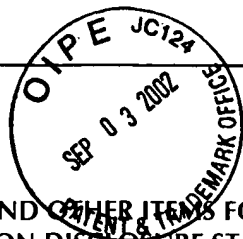


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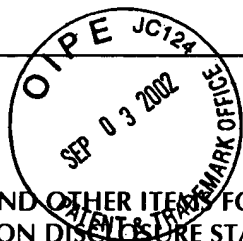


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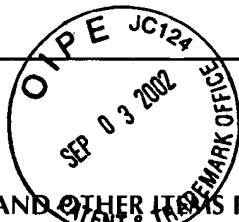


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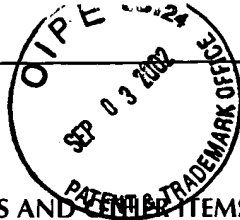
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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)							
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<b>FORM PTO-1449</b>  <b>SUPPLEMENTAL X</b>  <b>LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT</b>  (Use several sheets if necessary)	<b>ATTY. DOCKET NO.</b> 6646-114N8	<b>SERIAL NO.</b> 09/835,146
	<b>APPLICANT:</b> Ronald A. KATZ	
	<b>FILING DATE:</b> April 13, 2001	<b>GROUP:</b> 2643



U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
FOREIGN PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES NO
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)							
	✓	"DST DST Systems Inc. Mutual Fund System Audio Response System," DST Systems, Inc., 1985 (Manual)					
	✓	"Tele-Account Voice Response System Enhancements Functional Specifications March 18, 1985," March 18, 1985 (Manual)					
	✓	"Periphonics Project Management Review Meeting," March 21, 1985 (Agenda)					
	✓	Boni, D., "Call Coding Report," April 11, 1985 (Memo)					
	✓	Vollmer, H.D., "Periphonics Management Review," May 1, 1985 (Meeting Minutes)					
	✓	"DST Conversion Flow," April 12, 1985 (Chart)					
	✓	"Tele-Account Audit Report," Source Unknown, July 26, 1984					
	✓	"Tele-Account Problem Report," Source Unknown, July 26, 1984					
	✓	"100 Cool Call Things," Callcenter, Oct. 5, 2000					
					<b>RECEIVED</b>		
					SEP 09 2002		
					Technology Center 2600		

<b>EXAMINER:</b>	<b>DATE CONSIDERED:</b>
EXAMINER: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include a copy of this form with next communication to applicant	

